SIGNET
ASSET MANAGEMENT LATVIA

Signet Asset Management Latvia IPS
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Application for Individual Investor for Redemption of Investment Certificates of Mutual Funds

Information about the Investor

Investor

name, surname (as indicated in the identification document)

Date of birth ☐☐ ☐☐ ☐☐☐☐ Personal identity number ☐☐☐☐☐☐-☐☐☐☐☐

for non-residents of Latvia — day — month — year — for residents of Latvia

Place of birth

country

Identification document[1]

for non-residents of Latvia — type and number of the document

E-mail

e-mail for receiving information related to this application

Phone

phone for receiving information related to this application

Investor's cash account number

IBAN or another format

Investor's financial instruments account number

IBAN or another format

Custodian of the investor's cash and financial instruments account specified in the application

name and SWIFT code of a credit institution registered in Latvia

Information on Redemption of Investment Certificates

I hereby order for redemption of the stated quantity of the investment certificates of the following mutual funds:

ISIN	Mutual fund	Quantity of the investment certificates[2]
LV0000104073	Signet Baltic Bond Fund	
LV0000401008	Signet Bond Fund A Class	
LV0000401016	Signet Bond Fund H Class	

Information about Investor's Representative *(if applicable)*

Investor's representative

name, surname (as indicated in the identification document)

Date of birth ☐☐ ☐☐ ☐☐☐☐ Personal identity number ☐☐☐☐☐☐-☐☐☐☐☐

for non-residents of Latvia — day — month — year — for residents of Latvia

Identification document[1]

for non-residents of Latvia — type and number of the document

Document confirming representation rights[1]

type and number of the document

[1] A copy of the document must be attached.
[2] The quantity of the investment certificates must be indicated to three decimal places.

Additional Information about the Investor

☐ Other citizenship
(excluding what is mentioned in the identification document)

please specify

Declared address

country | city | street, house No., flat No., postal code

Actual address

(if different from the declared address) country | city | street, house No., flat No., postal code

Information about the ultimate beneficiary owner

Are you the ultimate beneficiary owner acting on your own interest and for your own benefit in transactions with Signet Asset Management Latvia IPS? ☐ yes ☐ no

Information on investment certificates owned by the Investor

Investment certificates of the mutual funds were obtained in the following way:

☐ Issuance of investment certificate

☐ Transfer by the third party[3]
- ☐ Transfer based on the agreement
- ☐ Gift
- ☐ Inheritance
- ☐ Other

please specify

Information on politically exposed person (PEP) status

Are you or any of your representatives is or has been a politically exposed person[4], are you or any of your representatives is or has been a politically exposed person[5] or a close associate of a politically exposed person[6] or holds another prominent office / public position[7]?

☐ no ☐ yes
- ☐ PEP
- ☐ family member of a PEP
- ☐ close associate of a PEP
- ☐ person who holds another prominent office / public position

[3] Documents confirming the legality of the transaction and the source of the funds involved in the transaction must be attached.

[4] Politically exposed person (PEP) – a person who in the Republic of Latvia, other Member State or third country holds or has held a prominent public office, including a higher official of the public authority, the head of the state administrative unit (local government), the head of the government, the minister (the deputy minister or assistant deputy minister if there is such an office in the relevant country), the state secretary or other official of high level in the government or state administrative unit (local government), a member of parliament or a member of similar legislation entity, a member of the management entity (board) of a political party, a judge of the constitutional court, a judge of the supreme court or of the court of other level (a member of the court authority), a council or board member of the supreme audit institution, a council or board member of the central bank, an ambassador, a chargé d'affaires, a high-ranking officer of the armed forces, a council or board member of a state capital company, a head (a director, a deputy director) and a board member of an international organization, or a person who holds equal position in such organization.

[5] Family member of PEP – a person who is the following for PEP: 1) a spouse or a person equivalent to a spouse. A person shall be considered a person equivalent to a spouse only if he or she is given such a status pursuant to the legislation of the relevant state; 2) a child or a child of a spouse or a person equivalent to a spouse of a PEP, his or her spouse or a person equivalent to a spouse; 3) a parent; 4) a brother or a sister.

[6] Person closely associated to PEP – an individual regarding whom it is known that he or she has business or other close relations with a PEP or he or she is a stockholder or shareholder in the same commercial company with a PEP, and also an individual who is the only owner of a legal entity which is known to have been actually established in the favor of PEP.

[7] Person who holds another prominent office / public position – a person who isn't PEP, but holds another prominent office / public position, for example, which enables a person to influence the decisions of the state, local governments (or state / local government corporations), to conclude agreements, to make decisions in high-profile sports organizations, to implement lobbying activities, etc.

Investor's confirmation

I am informed that Signet Asset Management Latvia IPS (hereinafter – the Company) accepts this application for execution, if the application is precisely completed and if the investor's compliance with the requirements of internal and external regulatory enactments has been determined. I am aware that the Company has included in the application and may request additional information or documentary evidence in order to comply with the requirements of the regulatory enactments in force in Latvia. I understand that the application will not be accepted if the Company does not obtain the necessary information and documents to assess the investor's compliance with internal and external regulatory enactments.

I have read the Mutual Fund Prospectus, the Basic Information for Investors, and the Mutual Fund Management Regulations, understand their terms and conditions and agree with them, as well as confirm that I understand the investment strategy and recognize all possible risks related to the investment in the fund. I am aware that with the signing of the application, the mutual relationship between the investor and the Company including the execution of the application, is regulated in accordance with the provisions of the Mutual Fund Prospectus and Management Regulations.

I am aware of the investor's obligation to ensure settlements for the redemption of investment certificates by submitting an DVP (delivery versus payment) order to the custodian for the transfer of investment certificates to Signet Bank, AS against the receipt of funds in the Investor's cash account specified in the application. The funds for redeemed investment certificates will be transferred to the custodian for further crediting to the Investor's cash account.

I hereby confirm that all the information provided in this application is true and undertake to immediately notify the Company of any change in the information.

☐ I hereby allow the Company to process (collect, store, record, use, disclose by transmission or dissemination etc.) my personal data, and data of other natural persons submitted by me, as well as request and receive mentioned data and other information from third parties in accordance with the applicable legal acts. I confirm that I have the right to transmit data of other natural persons.

☐ I hereby confirm that I have read and agree to the Company's Regulations on processing personal data.

I undertake not to use the Company's services in transactions that would result in violation of the laws of the Republic of Latvia, the European Union or the United Nations or decisions of international organizations that determine persons subject to sanctions / restrictions, territories subject to sanctions / restrictions or restrictions on the movement of certain goods.

I understand that the Company has rights to transfer information and documents submitted by me to third parties, including if it is necessary for performance of contractual obligations with third parties and/or for the fulfilment of the requirements for the prevention of the risk of money laundering and terrorism and proliferation financing and/or of the risk of sanctions. I agree that the Company and its custodian bank Signet Bank, AS process the personal data of the investor / investor's authorized person.