SIGNET
ASSET MANAGEMENT
LATVIA

Documents to be submitted by an individual investor

(for purchase of the investment certificates)

<table>
<tr><th>Document</th><th>Submission condition</th><th>Requirements for copy</th><th>Requirements for content</th></tr>
<tr><td>Investor identification document (passport / ID card)[1]</td><td>If investor is a non-resident of Latvia</td><td rowspan="2">When making a copy of a passport, full spreads (two pages together) must be copied. A single sheet of the copy may contain images of no more than two passport spreads.
When making a copy of an ID card, the document must be copied in its entirety (all pages).</td><td rowspan="2">1. The following information regarding the document holder must be visible:
• first name(s), surname;
• personal identification number (if indicated);
• citizenship or other legal status;
• gender;
• place of birth, date of birth;
• photograph;
• image of the signature.
2. The following information regarding the document must be visible:
• country code, type, series (if indicated), and number;
• name of the issuing authority, date of issue;
• expiry date.
3. The following additional information must be visible:
• visa (if required);
• temporary residence permit (if required).
4. Endorsements by the issuing authority regarding the extension of the passport's validity (if any) must be visible.</td></tr>
<tr><td>Investor representative's identification document (passport / ID card)[1]</td><td>If the application is submitted by the investor's representative</td></tr>
<tr><td>Document certifying the right to represent the investor (power of attorney / court decision)</td><td>If the application is submitted by the investor's representative</td><td>When making a copy, the document must be copied in its entirety (all pages).</td><td>1. Sufficient personal data provided: first name, surname, date of birth, and/or passport number.
2. Sufficient scope and term of representation rights specified.
3. Scope of representation rights specified.</td></tr>
<tr><td>Document substantiating the origin of the funds intended for the purchase of investment certificates</td><td>If the total volume of planned investments in the mutual funds exceeds EUR 15 000</td><td></td><td></td></tr>
</table>

[1] The subtypes of personal identification documents in Latvia are listed in the Republic of Latvia's Personal Identification Documents Law; Sub-types of identity documents from other EU Member States can be found at: https://www.consilium.europa.eu/prado/lv/prado-documents/LVA/index.html.

Documents to be submitted by a legal entity investor
(for purchase of the investment certificates)

Document	Submission condition	Requirements for copy	Requirements for content
A document certifying the registration of a legal entity, issued by a state institution that, in accordance with the law, carries out the registration of legal entities	If investor is a non-resident of Latvia	One of the following documents: 1. a court decision; 2. a certificate issued by the company registry; 3. another type of document confirming registration; 4. if the document confirming registration contains deficiencies that cannot be remedied by obtaining information from an independent source, a Certificate of Good Standing (CGS) must be submitted.	1. Full text. 2. If the document is certified with an Apostille, a copy of it must be attached to the copy of the document. 3. If the document has been legalized, copies of the documents confirming the legalization must be attached to the copy of the document.
Articles of association of the legal entity (if required by the legislation of the relevant country)	If investor is a non-resident of Latvia	1. Articles of association (of a legal entity, company, or corporation). 2. Another document that is essentially articles of association.	1. Full text of the version currently in force. 2. The Articles of Association must be signed (if required by the legislation of the relevant country), and the signature must be clarified: the first name or initial, surname, and position held must be indicated if the identity of the signatory is not apparent from other documents. Clarification of the signature is not mandatory when the document is signed by the subscriber.
Changes (amendments) to the articles of association of a legal entity	If investor is a non-resident of Latvia	1. New version of the Articles of Association. 2. Partial amendments to the Articles of Association. 3. Decision on changes to the composition of founders/shareholders. 4. Other amendments to the Articles of Association.	1. Full texts of all amendments. 2. Amendments registered with the Companies Register.
Decision on the establishment of a legal entity, if required by the legislation of the relevant country	If investor is a non-resident of Latvia	The incorporation agreement of a legal entity, the decision of a legal entity regarding its establishment, or the decision of the sole founder.	Full text.
Investor representative's identification document	If the application is submitted by the investor's representative	When making a copy of a passport, full spreads (two pages together) must be copied. A single sheet of the copy may contain images of no more than two passport spreads.	1. The following information regarding the document holder must be visible: • first name(s), surname; • personal identification number (if indicated); • citizenship or other legal status;

Document	Submission condition	Requirements for copy	Requirements for content
		When making a copy of an identity card, the document must be copied in its entirety (all pages).	• gender; • place of birth, date of birth; • photograph; • image of the signature. 2. The following information regarding the document must be visible: • country code, type, series (if indicated), and number; • name of the issuing authority, date of issue; • expiry date. 3. The following additional information must be visible: • visa (if required); • temporary residence permit (if required). 4. Endorsements by the issuing authority regarding the extension of the passport's validity (if any) must be visible.
Document certifying the right to represent the investor	If information is not available in Latvian public registers	If a legal entity is represented by its officer in transactions with the Company: A decision by the founders/shareholders regarding the appointment of officers (e.g., directors) and an extract from the commercial register or a Certificate of Incumbency issued by a registration agent, specifying the officer representing the entity.	1. Sufficient personal data provided: first name, surname, date of birth, and/or passport number. 2. Sufficient scope and term of representation rights specified. 3. Scope of representation rights specified.
		If a legal entity is not represented by its officer in transactions with the Company: 1. A power of attorney and the legal entity's decision regarding the appointment of the representative (if stipulated in the articles of association). 2. A power of attorney as a separate document. 3. In addition to the document mentioned in point 1 or 2, a decision by the founders/shareholders regarding the appointment of the officer signing the power of attorney (e.g., a director) is required, as well as an extract from the commercial register or a Certificate of Incumbency issued by a registration agent regarding the officer signing the power of	1. Sufficient personal data is specified: first name, surname, date of birth, and/or passport number. 2. The scope and term of the representation rights are sufficiently specified. 3. A single representative is specified in the power of attorney, except in cases where it is clearly evident from the text of the power of attorney that the Investor intended to authorize more than one person.

Document	Submission condition	Requirements for copy	Requirements for content
		attorney. When making a copy, the document must be copied in its entirety (all pages).	
Documents certifying the active status of a legal entity	If investor is a non-resident of Latvia	Certificate of Good Standing (CGS) or Certificate of Incumbency or another document of a substantially similar nature.	The full text, or information, can be obtained from an independent source.
Document certifying the ultimate beneficial owner's (UBO) ownership of or control over the legal entity	If investor is a non-resident of Latvia	One of such documents: 1. company share (stock) certificate; 2. trust agreement / declaration; 3. another document of a similar nature.	1. The trust agreement/declaration must be signed by the company shareholder and the UBO, if required by the document's form and content; 2. The company share certificate must be signed by the company director; 3. Sufficient UBO details are provided: first name, surname, date of birth, and/or passport number; 4. Sufficient details regarding the legal entity are provided: company name, country of registration, and registration number.
Document substantiating the origin of the funds intended for the purchase of investment certificates	If investor is a non-resident of Latvia. Latvian residents submit the document if the annual report is not available in Latvian public registers.		

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