

Ultimate Beneficial Owner Application

Investor

name, surname or company name

Date of birth

for non-residents of Latvia only

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
day	month	year			

Personal number

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>			
								-	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
for the residents of Latvia only												

Registration number

Information about the Investor's ultimate beneficial owner (UBO)

The UBO is a natural person

The UBO is a legal entity / or legal arrangement (only in cases where no natural person as the true beneficiary can be ascertained)

Name of legal entity / legal arrangement

Senior Management Position (Director / Deputy, Board Chairman / deputy, member of the Board, trustees, settlor etc.)

UBO

name, surname

Participation ratio (%)

Direct control

Indirect control

Date of birth

For non-residents of Latvia only

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
day	month	year			

Personal number

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>			
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For the residents of Latvia only												

Identification document

series and number

Issuing authority, date and country of issue, date of expiry

Other citizenship
(excluding what is mentioned in Identification document)

please specify

Actual address

country, region

city

street, building No., apartment No., postal code

Contact information

telephone

e-mail

Information about Investor's UBO education

Information about Investor's UBO occupation

Employee
 please specify the employer, country and job position _____

Self-employed person
 please, specify the type of business and country of operations _____

Sole trader
 please, specify NACE code and economic area of business _____
 please, specify the country of registration of the main business partners _____

Other
 please specify _____

Whether the UBO is or was politically exposed person¹, a family member² of the politically exposed person, a person closely associated with a politically exposed person³ or holds another prominent office / public position⁴?

no yes

PEP family member of a PEP

close associate of a PEP person who holds another prominent office / public position

Politically exposed person No. 1

_____ country _____
 full name, surname

position held, period

additional information (relationship / family relationship with PEP)

Politically exposed person No. 2 (if applicable)

_____ country _____
 full name, surname

position held, period

additional information (relationship / family relationship with PEP)

Person who holds another prominent office / public position (if applicable)

_____ country _____
 full name, surname

position held, period

additional information (relationship / family relationship with person)

¹ Politically exposed person (PEP) – a person who in the Republic of Latvia, other Member State or third country holds or has held a prominent public office, including a higher official of the public authority, a head of the state administrative unit (local government), the head of the government, the minister (the deputy minister or assistant deputy minister if there is such an office in the relevant country), the state secretary or other official of high level in the government or state administrative unit (local government), a member of parliament or a member of similar legislation entity, a member of the management entity (board) of a political party, a judge of the constitutional court, a judge of the supreme court or of the court of other level (a member of the court authority), a council or board member of the supreme audit institution, a council or board member of the central bank, an ambassador, a chargé d'affaires, a high-ranking officer of the armed forces, a council or board member of a state capital company, a head (a director, a deputy director) and a board member of an international organization, or a person who holds equal position in such organization.

² Family member of PEP – a person who is the following for PEP: 1) a spouse or a person equivalent to a spouse. A person shall be considered a person equivalent to a spouse only if he or she is given such a status pursuant to the legislation of the relevant state; 2) a child or a child of a spouse or a person equivalent to a spouse of a PEP, his or her spouse or a person equivalent to a spouse; 3) a parent, grandparent or grandchildren; 4) a brother or a sister.

³ Person closely associated to PEP – an individual regarding whom it is known that he or she has business or other close relations with a PEP or he or she is a stockholder or shareholder in the same commercial company with a PEP, and also an individual who is the only owner of a legal entity which is known to have been actually established in the favor of PEP.

⁴ Person who holds another prominent office / public position – a person who isn't PEP, but holds another prominent office / public position, for example, which enables a person to influence the decisions of the state, local governments (or state / local government corporations), to conclude agreements, to make decisions in high-profile sports organizations, to implement lobbying activities, etc.

Confirmation of the Investor / UBO

I certify that all the information given in this questionnaire is true, and I undertake to immediately inform Signet Asset Management Latvia IPS about the changes in the information.

Date Place _____
day month year country, city

signature of the Investor or Investor's representative full name and surname

Notes by the Company

Date _____
day month year

Name, surname, position held signature