

Tariffs for Transactions with Financial Instruments



In effect from 17.07.2023.

Financial instruments account

Opening of financial instruments account	free of charge
Closing of financial instruments account	free of charge
Interest on negative balance	12.00 % p.a.
Standard brokerage report	free of charge
Other reference upon client's request	EUR 80
LEI code assignment	EUR 200 / 300 / 400 (for 1 / 3 / 5 years)
LEI code renewal	EUR 125 / 250 / 350 (for 1 / 3 / 5 years)

Advisory account

Opening of advisory account	free of charge
Closing of advisory account	free of charge
Fee for advisory services	15.00 % of profit*
Interest on negative balance	12.00 % p.a.
Standard brokerage report	free of charge
Other reference upon client's request	EUR 80

* Commission for advisory services is applied after realization or transfer of the financial instrument, which was bought based on the recommendation. By transferring the instrument to other custody account, it is assumed that transfer takes place by market price of that day in accordance to Bank's financial instruments pricing procedure.

Profit is calculated as difference between cash flow from instrument realization or transfer and other income (including dividends, coupons), subtracting commissions paid to the Bank for transaction or transfer and cash flow from purchase of financial instruments, excluding commission related to the purchase of financial instrument. Third party commissions are not taken into account when calculating profit.

Custody

Portfolio value up to 3 000 000 EUR	0.025 % monthly
Portfolio value from 3 000 000 EUR to 20 000 000 EUR	0.022 % monthly
Portfolio value 20 000 000 EUR and more	0.020 % monthly

Commission is calculated from the market price of financial instruments in the account on the last day of the month or for illiquid financial instruments - from nominal value. Commission is withheld in EUR currency.

If the Bank incurs additional third party commission for custody, transaction with financial instruments or transfers of financial instruments and these fees are higher than tariffs established by the Bank, these fees shall be withheld from Client.

Transfers of financial instruments

Between clients' accounts within the Bank	EUR 20
Incoming transfers from another account to the Bank	EUR 100
Outgoing transfers from the Bank to another account	0.15 % of market value* (min. EUR 200)
Cancellation of receipt order/ transfer or change of payment date (if transfer has not been executed yet)	EUR 100

* Market value is determined as at the date of submission of transfer. If market value has not been determined, the Bank determines it independently. Calculation of market value of bonds does not include the accrued coupon income.

If the Bank incurs additional third party commission for custody, transaction with financial instruments or transfers of financial instruments and these fees are higher than tariffs established by the Bank, these fees shall be withheld from Client.

Processing of purchase-sales transactions

Settlement fee for transactions with Signet Asset Management Latvia IPS funds	EUR 5
Settlement fee for other transactions, except for over-the-counter (OTC) transactions	EUR 50
Settlement fee for over-the-counter (OTC) transactions	EUR 100

Repo and loan against financial instrument collateral

Review of an application for approval of a limit for a repo transaction or a loan transaction secured by financial instruments, if the transaction is related to a complex financial instrument that does not have a daily liquid market or custody of the financial instrument is not supported by central depositories (Euroclear, Clearstream, Nasdaq CSD)	EUR 500
Settlement fee of repo and loan transaction	EUR 100
Servicing fee of repo transaction (for each financial instrument)	EUR 50 per month

Brokerage fee for transactions with financial instruments

Transactions with debt securities in EUR, USD, GBP, CHF	
up to EUR 250 000 (or equivalent)	0.20 % (min. EUR 100)
from EUR 250 000 to EUR 500 000 (or equivalent)	0.15 %
EUR 500 000 (or equivalent) and more	0.10 %
Transactions with debt securities in other currencies	upon agreement
Transactions with capital securities at selected trade venues*	0.50 % (min. EUR 100)
Transactions with capital securities at other trade venues	upon agreement
Transactions with debt securities or balanced funds	0.50 % (min. EUR 100)
Transactions with fund certificates of capital securities	1.00 % (min. EUR 100)
Transactions with certificates of Signet Asset Management Latvia IPS funds	EUR 20**

* Russia (Moscow Exchange), USA (NYSE, Nasdaq, Amex), European Union Member States, Norway, Switzerland, Canada.

** Signet Asset Management Latvia IPS commission fee, which will be deducted from the Client in addition to the Bank's brokerage commission:

- for the sale of investment certificates: 0.30%;
- for repurchase of investment certificates, if the term of holding investment certificates is shorter than 1 (one) calendar year: 0.50%;
- for repurchase of investment certificates, if the term of holding investment certificates is longer than 1 (one) calendar year: 0.00%.

If the Bank incurs additional third party commission for custody, transaction with financial instruments or transfers of financial instruments and these fees are higher than tariffs established by the Bank, these fees shall be withheld from Client.

Brokerage fee for transactions with options and futures

US, EU share options	10 currency units in the agreement currency for contract, min. 60 currency units in the agreement currency
Futures and options on futures on selected marketplaces*	15 currency units in the agreement currency for contract, min. 60 currency units in the agreement currency
Futures and options on futures on other marketplaces	information upon request

* CBOT, eCBOT, CME, CME Globex, ICE/IPE, NYMEX, NYBOT, Eurex, Euronext, FORTS.

If the Bank incurs additional third party commission for custody, transaction with financial instruments or transfers of financial instruments and these fees are higher than tariffs established by the Bank, these fees shall be withheld from Client.

FX swap and FX forward transactions

Initial collateral deposit	from 5 % from transaction value
Margin call threshold	if initial deposit decreases for more than 50 %
Gold for investments	information upon request

If the Bank incurs additional third party commission for custody, transaction with financial instruments or transfers of financial instruments and these fees are higher than tariffs established by the Bank, these fees shall be withheld from Client.

Price list on transactions with other financial instruments is available upon request in the Bank.